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U.S. Application No.: /

International Application No.: PCT/IB03/01594

Date: September 30, 2004

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 USC 371

International Application No.

: PCT/IB03/01594

International Filing Date

: April 28, 2003

Priority Date Claimed Title of Invention

NONVOLATILE MEMORY STRUCTURE WITH HIGH SPEED HIGH

BANDWIDTH AND LOW VOLTAGE

Applicant(s) for DO/EO/US : CHIN-HSI LIN

| | | herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and mation: | | | | | | | | |
|-----|------|---|--|--|--|--|--|--|--|--|
| 1. | (X) | This is a FIRST submission of items concerning a filing under 35 USC 371. | | | | | | | | |
| 2. | () | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 USC 371. | | | | | | | | |
| 3. | (X) | This express requests to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21). | | | | | | | | |
| 4. | (X) | The U.S. has been elected (Article 31). | | | | | | | | |
| 5. | (X) | A copy of the International Application as filed (35 USC 371(c)(2)) a. (X) is transmitted herewith (required only if not transmitted by the International Bureau). b. (X) has been communicated by the International Bureau. c. () is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | |
| 6. | () | An English language translation of the International Application as filed (35 USC 371(c)(2)). a. () is attached hereto. b. () has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 7. | (X) | Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)) a. () are attached hereto (required only if not communicated by the International Bureau). b. () have been communicated by the International Bureau. c. () have not been made; however, the time limit for making such amendments has NOT expired. d. (X) have not been made and will not be made. | | | | | | | | |
| 8. | () | An English language translation of the amendments to the claims under PCT Article 19 (35 USG 371(c)(3)). | | | | | | | | |
| 9. | (X) | An Oath of Declaration of the inventor(s) (35U.S.C. 371(c)(4)). | | | | | | | | |
| 10. | () | An English language translation of the annexes of the International Preliminary Examination Repounder PCT Article 36 (35 USC 371(c)(5)). | | | | | | | | |
| | Item | Items 11 to 20 below concern document(s) or information included: | | | | | | | | |
| 11. | () | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | | |
| | | | | | | | | | | |

- 12. (X) An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. () A preliminary amendment.
- 14. () An Application Data Sheet under 37 CR 1.76.
- 15. () A substitute specification.
- 16. () A power of attorney and/or change of address letter.
- 17. () A computer-readable form of the sequence listing in accordance with PCT Rule 13*ter*.2 and 37 CFR 1.821-1.825.
- 18. () A second copy of the published international application under 35 U.S.C. 154(d)(4).

tl.SpApplication (So 7 9) Date: September 30, 2004 International Application No.: PCT/IB03/01594

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| 19. | (|) | A second | copy | of the | English | language | translation | of the | international | application | under | 35 | U.S.C. |
|-----|---|---|------------|------|--------|---------|----------|-------------|--------|---------------|-------------|-------|----|--------|
| | | | 154(d)(4). | | | | | | | | | | | |

- 20. (X) Other items or information:
 - a. (X) International Search Report (Form PCT/ISA/210).
 - b. (X) Request (Form PCT/RO/101)
 - c. (X) Return post card.
- 21. (X) The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 13492 (a) (1) - (5)):

- () International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international Search Report prepared by EPO or JPO......\$920.00
- () International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.......\$770.00

FEES BASIC FEE \$ 1080 **CLAMS NUMBER FILED NUMBER EXTRA RATE** Total Claims 17 - 20 =0 x \$ 18 \$0 Independent Claims 6 - 3 =3 x \$86 \$ 258 MULTIPLE DEPENDENT CLAIMS(S) (if applicable) \$290 \$0 (X) Applicant claims small entity status. See 37 CFR 1.27. \$ 669 The fee indicated above are reduced by 1/2. Pocessing fee of \$130 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$0 TOTAL NATIONAL FEE AS CALCULATE ABOVE \$ 669 Fee for recording the enclosed Assignment (37 CFR 1.21(h)). \$40 \$ 40 TOTAL FEES ENCLOSED \$ 709

(X) A check in the amount of \$ ______ to cover the above fee is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of the above national phase application, or credit any overpayment to Deposit Account No. 50-0710 (Order No. JCLA9994). A duplicate copy of this transmittal is enclosed.

PLEASE SEND ALL CORRESPONDENCE TO: J.C. PATENTS

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J.C. PATENTS

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COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Docket No. : JCLA9994

Applicant(s) : CHIN-HSI LIN;

For : NONVOLATILE MEMORY STRUCTURE WITH HIGH SPEED

HIGH BANDWIDTH AND LOW VOLTAGE

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I hereby certify that the accompanying

Transmittal in duplicate; Specification of the International Application PCT/IB03/01594 in __23 pages; __15 sheets of Drawings; Assignment with Recordation Cover Sheet in __3 pages; SIGNED Declaration and Power of Attorney in __2 pages; International Search Report (Form PCT/IB/210); Request (Form PCT/RO/101); Check for national phase filing fee; Return prepaid postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jiawei Huang